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(74) Agent: **F B RICE & CO**; 139-141 Rathdowne Street,  
Carlton South, Victoria 3053 (AU).

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(71) Applicant (*for all designated States except US*):  
**COCHLEAR LIMITED** [AU/AU]; 14 Mars Road,  
Lane Cove, New South Wales 2066 (AU).

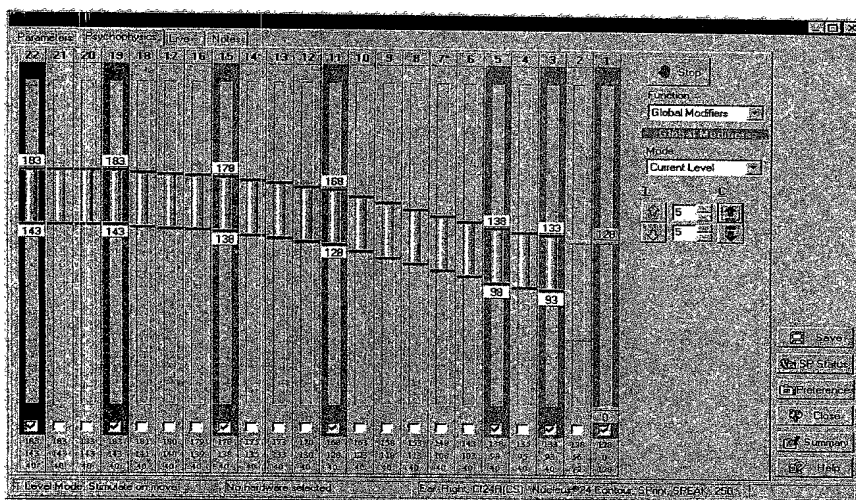
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(72) Inventor; and

(75) Inventor/Applicant (*for US only*): **SMOORENBURG,**  
**Guido, F** [NL/NL]; Afdeling KNO, Heidelberglaan 100,  
NL-3584-CX Utrecht (NL).

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(54) Title: PARAMETRIC FITTING OF A COCHLEAR IMPLANT



(57) Abstract: A method of fitting an auditory stimulation system to a recipient the system having a plurality of channels, and the method including the steps of establishing an initial current level profile representative of a current level setting spanning across at least some of the plurality of channels and adjusting parameters of the initial current level profile in the presence of a stimulation signal. There is further included a programming apparatus adapted to be interfaced with the auditory stimulation system to allow manipulation of threshold (T) and comfort (C) levels of the system. The apparatus includes a graphical display means adapted to display a graphical representation of the current profile of the channel array and means for adjusting a current level setting of the current profile of the array.

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## **Parametric Fitting of a Cochlear Implant**

### Field of the Invention

5           The present invention relates to an improved method of clinically fitting a cochlear implant to a recipient to satisfy the recipient's hearing needs.

### Description of the Prior Art

10           Cochlear implants have been developed to assist people who are profoundly deaf or severely hearing impaired, by enabling them to experience hearing sensation representative of the natural hearing sensation. In most such cases, these individuals have an absence of or destruction of the hair cells in the cochlea which naturally transduce acoustic signals into nerve impulses which are interpreted by the brain as  
15           sound. The cochlear implant therefore bypasses the hair cells to directly deliver electrical stimulation to the auditory nerves with this electrical stimulation being representative of the sound.

            Cochlear implants have traditionally consisted of two parts, an external speech  
20           processor unit and an implanted receiver/stimulator unit. The external speech processor unit has been worn on the body of the user and its main purpose has been to detect the external sound using a microphone and convert the detected sound into a coded signal through an appropriate speech processing strategy.

25           This coded signal is then sent to the receiver/stimulator unit which is implanted in the mastoid bone of the user, via a transcutaneous link. The receiver/stimulator unit then processes this coded signal into a series of stimulation sequences which are then applied directly to the auditory nerve via a series of electrodes positioned within the cochlea, proximal to the modiolus of the cochlea.

30           With improvements in technology it is possible that the external speech processor and implanted stimulator unit may be combined to produce a totally implantable cochlear implant unit that is capable for operating, at least for a portion of time, without the need for any external device. In such a device, a microphone would  
35           be implanted within the body of the user, for example in the ear canal or within the stimulator unit, and sounds would be detected and directly processed by a speech

processor within the stimulator unit, with the subsequent stimulation signals delivered without the need for any transcutaneous transmission of signals. Such a device would, however, still have the capability to communicate with an external device when necessary, particularly for program upgrades and/or implant interrogation, and if the  
5 operating parameters of the device required alteration.

Typically, following the surgical implantation of a cochlear implant, the recipient must have the implant fitted or customised to conform with the specific demands of that recipient. This procedure is often referred to as programming or  
10 "mapping" and is the term given to the process of measuring and controlling the amount of electrical current delivered by the cochlea implant to provide comfortable and usable stimulation to the recipient. This process leads to the creation of a program or map that ensures stimulation from the implant provides a recipient with comfortable and useful auditory perception, and is essential in ensuring that the recipient receives  
15 maximum benefit from the cochlear implant. As the implant system is designed to present acoustic information, in particular speech, to a recipient in a useable form, the initial aim of the mapping process is to optimise the information provided for a particular recipient.

20 A fundamental aspect of this procedure is the collection and determination of recipient specific parameters such as threshold levels (known as T levels) and maximum comfort levels (known as C levels) for each stimulation channel. The T and C levels vary from recipient to recipient and from stimulation channel to stimulation channel and are essential in determining how well the recipient hears and understands  
25 detected speech or sounds.

Conventionally, the step of determining T and C levels is manually performed by applying stimulation pulses for each electrode channel of the implant and receiving an indication from the implant recipient as to the level and comfort of the resulting  
30 sound. The T level is defined as the level at which the recipient first identifies sound sensation, and is the lowest level of stimulation that evokes the sensation of sound for that channel. The T level is often determined by passing the recipient's hearing threshold twice using an ascending method and determining the level at which the recipient experiences sound by observing their response by indicating gestures in the  
35 case of adults, or behavioural reactions in the case of children.

The C level sets the maximum allowable stimulation level for each electrode channel and is defined as the maximum stimulation level that feels comfortable to the recipient. In setting and establishing the C levels, it is usual to instruct the recipient to indicate a level which is "as loud as would be comfortable for long periods" whilst slowly increasing the stimulation. The C levels affect how speech sounds to the recipient more than T levels as most of the acoustic speech signal will be mapped onto the top 20% of the T and C level range.

Establishing and setting T and C levels for each electrode channel in a programming process is an important aspect of a fitting session for a cochlear implant. For implants with a large number of electrode channels for stimulation, this process is quite time consuming and rather subjective as it relies heavily on the recipient's subjective impression of the stimulation rather than any specific measurement. This aspect is further complicated in the case of very young children, children with multiple handicapping conditions and/or are developmentally delayed, and pre-lingually or congenitally deaf recipients who are unable to supply an accurate impression of the resultant hearing sensation. In these cases, the fitting of the implant may be non-optimal. In such cases an incorrectly fitted implant will result in the recipient not receiving optimum benefit from the implant and in the cases of children may directly hamper the speech and hearing development of the child.

A number of proposals have been put forward to provide a more objective approach to fitting a cochlear implant to a recipient that reduces the reliance of the process on feedback from the recipient in response to stimulation. However such proposals typically attempt to make an estimate of appropriate T and C levels for each specific electrode channel, and still allow for fine tuning based upon some form of subjective input from the recipient.

US Patent No. 5,626,629 provides one such approach whereby the T and/or C levels are estimated for each stimulation channel by measuring the stapedius reflex and/or EABR in response to stimulation pulses applied on each of the channels. Such a system enables the mapping process to proceed without relying upon subjective feedback from the recipient, but still requires the objective measurements to be obtained for all of the electrode channels which is both a time consuming and complicated process. Further, when the patient is able to provide subjective feedback,

each of the T and C levels for each channel still require manual adjustment, which is a common problem of the prior art.

US Patent No. 6,157,861 also provides a system for obtaining objective  
5 measurements of the T and/or C levels without relying on subjective feedback from the recipient. In this approach, the stapedius reflex is detected for stimulation applied on each channel in accordance with a dedicated stapedius reflex sensor system. As was the case in the above mentioned patent, this approach also requires that the stapedius reflex be measured for each specific electrode channel which is both a time consuming and  
10 delicate process.

The present invention is directed to a process of programming the operation of a cochlear implant that address the problems described herein.

15 In order to improve the programming process and decrease the time taken to develop a useful map for a recipient, there is a need to obtain and manipulate the T and C levels more effectively than has previously been the case. In the past, T and C levels have been obtained and manipulated on a one-by-one basis and not globally, taking into consideration the interaction between channels. This has resulted in there  
20 being a number of degrees of freedom and a great degree of variability and uncertainty in the manipulation of T and C levels. The present invention aims to reduce the variability and uncertainty associated with setting the T and C levels for a recipient and to concentrate on manipulating these levels parametrically, based upon objective measures, statistical analysis of recipient maps and from other such observations or  
25 theoretical considerations.

The present invention preferably provides a method for fitting a speech processor and implantable cochlear stimulator to a particular recipient in a quicker and more effective manner than has historically been the case.

30

Any discussion of documents, acts, materials, devices, articles or the like which has been included in the present specification is solely for the purpose of providing a context for the present invention. It is not to be taken as an admission that any or all of these matters form part of the prior art base or were common general knowledge in the  
35 field relevant to the present invention as it existed before the priority date of each claim of this application.

### Summary of the Invention

In accordance with one aspect of the invention, there is provided a method of  
5 fitting an auditory stimulation system having a plurality of channels to a recipient, the  
method comprising the steps of:

establishing an initial current level profile representative of a current level  
setting spanning across at least some of the plurality of channels; and

adjusting parameters of the initial current level profile in the presence of an  
10 stimulation signal.

In a further embodiment of this aspect, the method can further comprise a step  
of:

determining the desired parameters representative of an optimum current level  
15 profile corresponding to a recipient's threshold and/or maximum comfort current level  
profile.

According to a second aspect of the invention, there is provided a programming  
apparatus adapted to be interfaced with an auditory stimulation system having a  
20 plurality of channels to allow manipulation of the threshold (T) and comfort (C) levels  
of the system, the programming apparatus comprising:

a graphical display means adapted to display a graphical representation of the  
current profile of the channel array; and

means for adjusting a current level setting of the current profile of the array.  
25

In a preferred embodiment, the auditory stimulation system comprises a  
cochlear implant system. The cochlear implant system preferably utilises an electrode  
array to deliver electrical stimulations to the cochlear of a recipient. In one  
embodiment, the array comprises 22 intracochlear electrodes and at least one  
30 extracochlear electrode.

By manipulating the parameters of a current level profile spanning preferably all  
electrode channels of the electrode array, there is no need to adjust threshold and  
comfort level currents for each individual electrode channel in the array of an implant  
35 following implantation in the recipient. Instead, manipulation is preferably applied to  
the entire channel profile resulting in a greatly reduced amount of psychophysical

measurements required and a programming/fitting procedure that is more recipient friendly, more time efficient and more cost effective.

Preferably, the step of establishing an initial current level profile includes a step  
5 of obtaining measurements of the evoked compound action potential (ECAP) thresholds for each or a number of the electrode channels and establishing a current level profile based upon these measurements. In another embodiment, the initial current level profile is preferably established from measurements of the ECAP thresholds for at least one electrode channel of the auditory stimulation system, with  
10 the full profile being interpolated from such measurements.

In another embodiment, the step of establishing an initial current level profile includes the step of performing a statistical analysis of recipient mapping data over a number of recipients and using this analysis to form an initial current level profile for a  
15 particular recipient.

In yet another embodiment, the step of establishing an initial current level profile includes the step of performing a number of psychophysical and/or electrophysiological measurements of the recipient in combination with statistical  
20 analysis of recipient mapping data over a number of recipients to determine a suitable initial current level profile for a particular recipient.

The initially determined suitable current level profile is preferably represented on the graphical display means of the programming apparatus to allow ready  
25 determination by a clinician of the current profile of the array.

The step of adjusting the overall parameters of the initial current level profile preferably includes adding/subtracting a fixed or derived amount of current level from each individual electrode channel in the profile. This parameter adjustment is referred  
30 to as a "shift" manipulation, and has the effect of moving the profile up or down in a vertical direction on a graph plotting current level against electrode channel number.

By "shifting" the profile "up", either a fixed amount of current is added to the current level of each individual electrode channel in the profile (linear shift), or an  
35 individually derived current level is added to each electrode channel (non-linear shift),

thereby increasing the amount of current delivered by the electrode channels when operated with that particular profile.

By “shifting” the profile “down”, either a fixed amount of current is subtracted  
5 from the current level of each individual electrode channel in the profile (linear shift), or an individually derived current level is subtracted from each electrode channel (non-linear shift), thereby decreasing the amount of current delivered by the electrode channels when operated with that particular profile.

10 The overall parameters of the initial current profile can also be adjusted by adding an electrode channel specific derived amount of current level to a subset of electrode channels in the profile and subtracting an electrode channel specific derived amount of current level from the remaining electrode channels. This parameter adjustment is preferably referred to as a “tilt” manipulation, and has the effect of tilting  
15 the profile clockwise or anti-clockwise on a graph plotting current level against electrode channel. In a preferred embodiment, the “tilt” manipulation may be performed by using at least one electrode channel, for example electrode channel 12 of said plurality of electrode channels, as a pivot point and for each electrode channel 1-11 the individual current levels of each electrode channel is decreased by a varying  
20 percentage of a fixed amount of current, and for each electrode channel 13-22, the current level for each electrode is increased by a varying percentage of a fixed amount of current, or vice versa. In this regard and where the electrode array is adapted to be positioned within the cochlea, the electrodes positioned in the apical region may have their current levels increased and those within the basal region may have their current  
25 levels decreased, or vice versa. The amount of current level to be added/subtracted from the electrode channel can be a function solely depending on the distance of the electrode channel in question to the pivot point (linear tilt) or can have a more complex dependency, e.g. depend from a separate “tilt profile” in addition (non-linear tilt).

30 The overall parameters of the initial current level profile can also be adjusted by adding/subtracting a derived amount of current level from each individual electrode channel in the profile in such a way as to bend the current level profile. This can be interpreted as a profile manipulation using 2 pivot points, which might be allocated but are not limited to the most basal and most apical electrode channel. These pivot points  
35 might even be situated outside the actual range of electrode channels available. This parameter adjustment can be referred to as a “curvature” manipulation and has the



effect of causing the profile to curve or change shape on a graph plotting current level against electrode channel. The "curvature" can be achieved in a linear and non-linear manner, as described above. The derived values used for the non-linear manipulations can stem from statistical analysis, such as factor analysis of available clinical data.

- 5 They can be influenced by several factors, such as the actual starting level, whether it is a T or a C level, the implant and electrode type used or the coding strategy applied. Other sources of influence and any combination of factors can be used to calculate the derived data.

- 10 Other parameter manipulations suitable to adjusting the current level profile are also included within the scope of the present invention.

- In a preferred embodiment, the step of adjusting the overall parameters of the initial current level profile can include any one or combination of a "shift"  
15 manipulation, a "tilt" manipulation and/or a "curvature" manipulation.

- Adjustment of the profile is preferably performed through a clinician interface that allows the current profile of the electrode array to be adjusted in the manner described herein. In one embodiment, a software package can be run on a computer,  
20 with the software package offering input means that allows the clinician to readily adjust the current profile of the array. The input means can comprise one or more of a mouse, joystick, roller ball, keyboard, or keypad, that allows the clinician to adjust the settings within the software package.

- 25 In a preferred embodiment, the overall parameters of the initial current level profile are adjusted in the presence of a broad band signal, preferably a live speech signal. The broadband signal alternatively may be an artificial signal or a recorded signal. In such a case, the implant delivers stimulation representative of the signal, in accordance with the current level profile. By adjusting the parameters of the current  
30 level profile the stimulation delivered by the implant varies, allowing the stimulation signal to be optimised in terms of recipient threshold and maximum current levels.

- The method and apparatus according to the present invention present a number of potential advantages over existing techniques. In particular, it is envisaged that  
35 fewer psychophysical measurements will be needed to prepare a map for a particular recipient and the channels will be manipulated globally rather than individually. The

ability to use live sounds is also potentially more interesting for small children than arbitrary stimuli used to date.

#### Brief Description of the Drawings

5

Embodiments of the invention will now be described, by way of example only, with reference to the accompanying drawings, in which:

Figure 1 is a graphical example of a typical recipient map generated by  
10 conventional mapping techniques;

Figure 2 is a graphical representation of a typical ECAP waveform showing negative (N1) and positive (P1) peaks;

15 Figure 3 is a graphical representation of the changes in ECAP as a function of the stimulus current level;

Figure 4 shows the ECAP growth function;

20 Figure 5 shows the relationship between the average ECAP thresholds and the behavioural T and C levels for a group of 82 recipients using the Nucleus® 24 implant;

Figure 6 shows the relationship between the ECAP thresholds and the behavioural T and C levels from a recipient implanted with a Nucleus® 24 Contour™  
25 implant;

Figure 7 is a flow chart of the method of the present invention;

Figure 8 shows the step of importing ECAP thresholds according to one embodiment of the present invention;  
30

Figure 9 shows the step of interpolating the CL profile from the imported ECAP thresholds of Figure 8, according to an embodiment of the present invention;

Figure 10 shows complete ECAP thresholds used as an initial CL profile  
35 according to another embodiment of the present invention.;

Figure 11 shows the initial CL profile being shifted below the recipient's hearing threshold according to step 2 of Figure 7;

Figure 12 shows the CL profile representative of when a recipient can just hear  
5 live speech according to steps 3 and 4 of Figure 7;

Figure 13 shows the CL profile tilted a current level value of +5 according to step 5 of Figure 7;

10 Figure 14 shows the CL profile tilted a current level value of +10 according to step 5 of Figure 7;

Figure 15 shows the CL profile tilted a current level value of +15 according to step 5 of Figure 7;  
15

Figure 16 shows the CL profile tilted a current level value of -5 according to step 5 of Figure 7;

Figure 17 shows the CL profile tilted a current level value of -10 according to  
20 step 5 of Figure 7; and

Figure 18 shows an example of an optimum T-level profile generated from the initial CL profile according to step 9 of Figure 7.

25

#### Preferred Mode of Carrying out the Invention

The following description is directed to a cochlear implant system having an electrode array, in particular an array having twenty-two intracochlear electrodes. It  
30 will be appreciated that the invention has potential applicability to any auditory stimulation system utilising an electrode array and in particular to electrode arrays comprising less or more electrodes than that described herein.

Throughout the specification a channel is considered to be a pair of electrodes that  
35 provide a path for current to flow. One electrode is called the active electrode and the other is called the reference electrode. Pulses of current flow from the reference to the

active electrode and back again to stimulate nearby nerves. Our implant provides up to 22 channels.

In order to better understand the present invention, it is appropriate to firstly  
5 consider one method of programming a cochlear implant and creating a map which enables the speech processor to output data in a form which can be decoded by the receiver/stimulator.

As a map is a complete set of instructions for the speech processor which  
10 includes the minimum and maximum stimulation levels for each stimulation channel, conventional programming methods have required the clinician creating the map for the recipient of the implant, to measure T and C levels for each channel, for the stimulation mode and speech processing strategy chosen for the recipient. This process requires an experienced clinician/audiologist to present a stimulus, usually a fixed phase biphasic  
15 pulse at a fixed rate and duration, to each channel of the recipient's implanted electrode array. The clinician/audiologist then asks the subject to estimate the lowest level at which that stimulus can be detected (T level) and the level judged by the recipient as being the upper limit of comfort (C level). This process is repeated for all of the channels, for example, all 22 electrode channels in a current Nucleus® model device as  
20 manufactured by the present applicant, until a map is created which includes T and C levels for each channel that delivers stimulation pulses. It has been found that initial estimates of the T and C levels as determined by the clinician/audiologist working in conjunction with the recipient (where possible) using the conventional method are variable and may take months to stabilise. As such, it is easy to appreciate that the  
25 conventional programming method is a laborious task requiring much experience and expertise by the clinician and relying on good feedback from the recipient to create the most optimal map.

Figure 1 shows the T and C levels for a typical recipient map which may be  
30 generated by a clinician during such a mapping session. These levels have been generated using a software package developed by the present applicant to assist the clinician by providing an interface that is easy for the clinician to manipulate and visualise. The horizontal sections numbered 1-22 (along the top) indicate the channel number along the intracochlear array of the implant, and the vertical axis represents  
35 current level for each electrode channel in the array. This software package is run on a computer that outputs signals set by the software package through an interface. The

interface is adapted to connect to the speech processor and allow transmission of signals from the computer to the processing control system of the speech processor which in turn outputs stimulation signals via the transcutaneous radio frequency (RF) link to the implanted receiver/stimulator unit of the system.

5

As is shown in this particular example, the upper vertical limit for each channel is the maximum comfort level (C level) which represents for that particular channel, the maximum amount of current which can be delivered to deliver a sensation to the recipient at a loudness level which is just tolerable to that recipient. The lower vertical  
10 limit for each channel is the threshold level (T level) which represents the amount of current which can be delivered by that channel to produce a sensation that is just audible to the recipient. In this particular example, the T and C levels for a number of channels are specifically shown, for example the T and C levels for electrode channel 11 are 128 and 168 respectively, and in use all sounds detected are mapped between  
15 these 2 levels to produce the equivalent sound sensation to the recipient.

In the software package as shown in Figure 1, the T and C levels can be altered up or down by the clinician using appropriate controls on the computer so leading to altered signals being sent to the speech processor which in turn adjusts the stimulation  
20 signals output by the electrode array. This alteration can be made with feedback from the recipient indicating whether the sensation is either too loud or just audible.

Whilst the conventional mapping techniques are time consuming and arduous for both the clinician and the recipient, there has to date been no reliable alternative  
25 implemented on a wide scale. However, with an increased understanding of the response of nerves to electrical stimulation, there has been research into how this increased understanding can assist in understanding the parameters associated with delivering electrical stimulation, and this has suggested possible new ways to improve the conventional mapping process.

30

For a number of years it has been possible to record the electrically evoked auditory brain stem response (EABR) in cochlear implant recipients and a number of studies have been conducted which have attempted to correlate EABR thresholds to mapped threshold and/or comfort levels. Such EABR measurements have required the  
35 use of surface recording electrodes and the complications and lengthy nature of this measuring process have hindered this technique from becoming routinely adopted.

Still further, the recipient being assessed has often had to be asleep or heavily sedated to avoid contaminated measurements from being recorded by the recording electrodes.

It is only more recently that a simple and more direct way to assess auditory  
5 nerve function in cochlear implants has been possible, by measuring the electrically  
evoked compound action potential (ECAP). This potential reflects the synchronised  
response of peripheral auditory nerves delivered by an intracochlear electrode, and the  
response typically resembles a waveform having an initial negative peak followed by a  
positive peak. Initially, such ECAP measurements could only be obtained  
10 intraoperatively through the use of a temporary intracochlear electrode array, or with  
cochlear implant recipients having a device with a percutaneous plug. In such cases,  
the ability to perform such measurements was only done experimentally, and as special  
instances had to be set up to allow such measurements to be taken, it was not possible  
to obtain such results for everyday use.

15

Recent developments undertaken by the present applicant have allowed for a  
quick and non-invasive method for recording the ECAP of the peripheral auditory  
nerves *in situ*, without the need for dedicated devices or plugs. Such a method has been  
designed into the conventional cochlear implant system to provide an additional feature  
20 of the system which can be utilised to take such measurements. The applicant's  
Nucleus® 24 model cochlear implants were the first implant system with such  
capabilities, and only now are the benefits of such a feature becoming fully realised.

The feature for recording the ECAPs in the Nucleus® 24 model device is known  
25 as Neural Response Telemetry (NRT) and whilst this application focuses on the NRT  
feature, it should be appreciated that this invention will be also applicable to other such  
methods of recording ECAPs, and hence should not be limited to use with the specific  
NRT feature.

30 In the mentioned feature, the bi-directional telemetry system that is present in  
the cochlear implant is used to measure the ECAP of the recipient's auditory nerve.  
Dedicated ECAP measurement software communicates with the implanted  
receiver/stimulator unit via the speech processor and RF link and biphasic current  
pulses are delivered to a single intracochlear electrode of the array. The resulting  
35 ECAP is measured from a neighbouring electrode, amplified, encoded and sent back to  
the speech processor via the RF link. The data is then analysed using the speech

processor and the dedicated ECAP measurement software, with the software then presenting the results in a manner easily interpreted by a clinician or implant specialist. As mentioned previously, in such a system the ECAP measurement can be taken without the need of any extra equipment, and therefore has considerable advantages  
5 over other evoked potential measures, such as the electrically evoked auditory brainstem response (EABR).

US Patent No. 5,758,651 describes one system and apparatus for recovering ECAP data from a cochlear implant. This system measures the neural response to the  
10 electrical stimulation by using the stimulus array to not only apply the stimulation but to also detect and receive the response. In this system the array used to stimulate and collect information is a standard intra-cochlear and/or extra-cochlear electrode array. Following the delivery of a stimulation pulse via chosen stimulus electrodes, all electrodes of the array are open circuited for a period of time prior to measurement of  
15 the induced neural response. The purpose of open circuiting all electrodes prior to measurement is to reduce the detected stimulus artefact measured with the ECAP nerve response.

Whilst the above system has proven useful in capturing and investigating  
20 evoked neural response in the cochlea, there are still a number of limitations intrinsic with this system, in particular in resolving the neural response from the stimulus artefact. This process has presented considerable difficulties, including problems such as the fact that the signals that are to be measured are extremely low level signals (down to the order of  $10\mu\text{V}$ ).

25

In cochlear implant applications in particular, a stimulus pulse is delivered with an amplitude typically in the range of 1V to 10V, which is orders of magnitude greater than the response that is to be measured resulting from this stimulation. Providing for a system that is firstly able to deliver a stimulus of sufficient amplitude and also to detect  
30 the elicited response of the nerves to that particular stimulation has therefore been problematic. Due to the nature of the neural response, the sensing system must be ready to record this response within a short delay (preferably less than  $50\mu\text{s}$ ) after the trailing edge of the stimulus. In order to properly resolve the very small neural signal a large amplifier gain is required (typically of about 60dB to 80dB), however the neural  
35 signal is superimposed on a much larger artefact which distorts the actual measured signal considerably.

In order to overcome the above mentioned problems, the system as described in International Patent Application PCT/AU02/00500 was developed which delivers, subsequent to the first stimulus, a compensatory stimulus in order to counteract a residue charge distribution caused by the first stimulus. In this system, the artefacts associated with the stimulus could be addressed at the time of measuring the ECAPs, without the need for post measurement processing, thereby providing a more exact and useable measurement. This application also describes a method of optimising the parameters of the compensatory stimulus to take into account differences in the artefacts present, as may be the case from electrode to electrode or from recipient to recipient.

With improved methods of obtaining such measurements, there has been an interest in investigating ways to use this information in a clinical setting, rather than using the information merely to check that the electrodes are delivering stimulation. A number of initial studies have been undertaken to investigate potential clinical applications of such measurements, with a focus of such measurements being on determining whether the ECAP response can be used to aid in the programming of the cochlear implant speech processor. It is considered that such an application would be beneficial to clinicians and audiologists who work with very young children, where programming the speech processor presents significant challenges.

One such investigation was reported in Brown CJ, Hughes ML, Luk B, Abbas PJ, Wolaver A, Gervais J (2000) "*The Relationship Between EAP and EABR Thresholds and Levels Used to Program the Nucleus 24 Speech Processor: Data from Adults*" Ear & Hearing, 21, 151-163. In this investigation, ECAP thresholds were correlated with conventionally mapped T and C levels and it was shown that the correlation was not sufficiently strong to suggest that ECAP measurements could be directly used without some level of behavioural information.

This investigation suggested that whilst ECAP thresholds alone may not be strong predictors of either T or C levels, a combination of these results with a small amount of behavioural information may allow clinicians working with individuals with limited attention and/or response capabilities to be fitted with a cochlear implant with reasonable accuracy.



This finding was also consistent with a finding reported in Hughes ML, Brown CJ, Abbas PJ, Wolaver AA, Gervais JP (2000) "*Comparison of EAP Thresholds with MAP Levels in the Nucleus 24 Cochlear Implant: Data from Children*" Ear & Hearing, 21, 164-174. In this investigation, ECAP thresholds were shown to fall between T and C levels for 18 out of 20 subjects tested. However, there existed a level of variability across recipients sufficient to make map threshold or comfort level predictions based solely on the objective ECAP measures to have a significant error in most cases. Therefore, the ECAP thresholds could provide an indication of "safe" levels of stimulation.

10

In order to understand this further, a typical ECAP waveform is shown in Figure 2, with the ECAP waveform consisting of an initial negative peak (labelled N1) followed by a positive peak (labelled P1). Using a recipient's Nucleus® 24 model implant, the ECAP measurement can be taken without the need for any extra equipment, as a neighbouring electrode to that which delivers the stimulation can be used to measure the ECAP, whereby the implant amplifies, encodes, and transmits the signal back to an external unit which then analyses the data with dedicated software, to enable the data to be easily interpreted by the clinician.

20 The N1 and P1 amplitude of the ECAP waveform vary with stimulating current as can be seen in Figure 3, with the amplitudes increasing with increases in stimulating current level. It is the amplitude growth function that can be used to estimate the ECAP threshold, and to quantify how the response changes with stimulus intensity. This is obtained via dedicated software that uses the ECAP amplitude, which is the difference (in  $\mu\text{V}$ ) between the N1 and P1 amplitudes, which is evident in the graph in Figure 2. The ECAP threshold may be estimated visually by reviewing the amplitude growth series and selecting the electrical stimulation level which produces the smallest repeatable N1 and P1 peaks in the waveform, or software can be used to extrapolate the ECAP threshold from the amplitude growth function. As is shown in Figure 4, the amplitude growth function is a plot of the ECAP amplitudes as a function of stimulus current levels and it has been found that a linear regression line can be fitted to the data to extrapolate the ECAP threshold and to define the slope of the function.

30 As mentioned above, the clinical value of the ECAP thresholds has been investigated, and it has been shown that there are some important relationships between the current levels of the ECAP thresholds and the behavioural T and C levels established by a clinician during a mapping procedure. The main relationships are that

the ECAP thresholds correlate with the behavioural T and C levels, however the ECAP thresholds are not equal to the T or C levels but typically lie between the T and C levels, with the ECAP thresholds being typically audible to the recipient. This aspect is shown in Figure 5, where there is shown the average ECAP thresholds (T-NRT) and the behavioural T and C levels for a group of 82 recipients using the Nucleus® 24 model implant.

A further finding has been the fact that the profile of the ECAP threshold levels as a function of electrode number resembles the profiles of the T levels and to a lesser extent the C levels. This is shown in Figure 6, where the ECAP threshold profile (T-NRT) and the behavioural T and C levels are shown from a recipient implanted with a Nucleus® 24 model implant and Contour™ model array, which is manufactured by the present applicant.

With this understanding of how objective measurements can be taken which show the response of the peripheral auditory nerves to electrical stimulation delivered by the cochlear implant, there is a need to attempt to utilise these developments to make the fitting/programming session(s) for a cochlear implant more user friendly and clinically efficient.

An embodiment of the method according to the present invention is depicted by the flowchart in Figure 7. This method preferably provides a more efficient method of establishing and setting the T and C levels which are specific to each particular recipient.

The method of the present invention consists essentially of 2 steps which are used in the same manner to establish the T and C levels for a recipient. The starting point in all cases is to establish an initial current level profile across all electrode channels that can be manipulated by adjusting a few profile parameters to establish the T and C levels for that recipient. These parameters include, but are not limited to, vertical position shift, profile tilt and profile curvature of the current level profile.

The first step essentially moves each initial current level in the profile a series of increments until a target is met. This target would be either the fact that the threshold point has been determined, or that the maximum current level has been determined. Once this has occurred, the next step is to identify optimal values for other parameters

describing the profile, to best achieve the target, namely that the threshold point has been achieved or that the maximum comfort point has been achieved.

The first step of the present invention is to establish a predefined initial current level (CL) profile (ie. step 1 of the flow chart). This profile gives a current level setting for each electrode channel and is the basis from which the final T and C level profiles are established. It is envisaged that more than one initial profile could be used, for example, one profile for setting T levels, another for setting C levels etc. As mentioned previously, this initial CL profile may be the result of measurements of the ECAP thresholds for each or a few of the electrodes, the result of a statistical analysis of recipient mapping data over a number of subjects, or the result of a number of electrophysiological and/or psychophysics measurements in combination with statistical analysis, such as multiple regression. It is also conceivable that the initial CL profile may be a straight line. Ideally, the initial CL profile is established without the need for subjective feedback from the recipient and without the need for multiple tests to be performed on the recipient for each specific electrode channel.

As is shown in Figure 8, the initial CL profile may be derived from a small number of measured ECAP thresholds for specific electrode channels, with the full profile being predicted for non-measured channels, similar to as is shown in Figure 9. In this depicted example, ECAP thresholds were measured for 7 electrode channels with the values for the non-measured channels being extrapolated therefrom.

However, in a another embodiment, the ECAP thresholds for each channel would be used as the initial CL profile, as is shown in Figure 10.

Once the initial CL profile has been established in step 1, it is then preferably manipulated to establish appropriate T and C levels for the recipient. In step 2 of this process, the initial profile is shifted below the predicted desired target setting. In the case of setting the T-level profile, the initial CL profile would be shifted down to a level that would be below a recipient's threshold of hearing; for example, the maximum current level of the CL profile could be reduced to a current level of 80 with all other levels being relative to this.

In the case of setting the C level profile, the initial CL profile could be moved to any level that is below the maximum comfort level of the recipient as a starting point,

for example, the previously identified threshold. A graphical example of this in relation to the setting of T levels can be seen in Figure 11, wherein the initial CL profile is shown as the thin line, and the dotted line represents the initial CL profile being shifted down below an arbitrary threshold level, ie. should the electrodes be stimulated to those current levels, the recipient would not experience sound sensation. It is this "shift" action that provides the first manipulation or adjustment of the CL profile.

In step 3, the recipient is presented with a broad band signal, for example a live speech sample, and the stimulation representative of this signal is delivered by the electrode array of the implant to the recipient within the constraints of the CL profile set by step 2. If the recipient does not detect the live speech, the CL profile is then shifted up or increased by a level step size (for example iterations of 5 current levels at a time) until the live speech is detected by the recipient, and what is considered as the "shift target" is met. Figure 12 is a graphical representation of this, showing the CL profile which has been shifted up a number of level steps from that shown in Figure 11, with this profile indicative of the point where the recipient indicates that they are detecting live speech.

At this stage in the process, the CL profile can be lowered one incremental step, and the profile manipulated by adjusting one parameter of a limited set of parameters, thereby changing the characteristics of the CL profile (ie step 5 in Fig. 7). In one embodiment, this manipulation is performed by applying a "tilt" manipulation to the profile, wherein a derived amount of current level is added/subtracted to each individual current level value for the individual electrode channels of the electrode array. This manipulation literally "tilts" the profile as represented on a graph of current level against electrode channel number. That is, the profile is shifted down for high frequency channels and up for low frequency channels or vice versa. It is envisaged that the "tilt" may be linear or non-linear, e.g. derived from a tilt profile. A software package can automatically apply this "tilt" manipulation, by using, for example, electrode channel 12 as the pivot point. In the case of a linear tilt, for each channel from 22-13, the current level is increased by a varying percentage of fixed current levels, and for each channel from 11-1, the current levels are decreased by a varying percentage of fixed current levels, or vice versa. The use of other electrode channels in the array as "pivot points" for the tilt manipulation can be envisaged.

In a preferred embodiment, the fixed current level may be 5. Figure 13 is a graphical example of such a CL profile manipulation indicative of a current level tilt of 5.

5           In this step of the process, the CL profile is manipulated by using the "tilt" value, until the live speech is no longer detected, ie. the target is not met. Then, step 3 as described above is repeated (shown in Figure 7 as step 6) until the recipient can again detect the live speech.

10           If no further shift/tilt combination meets the target criteria (i.e. sound detection in this example), then the current value for shift is the target shift value. The target shift value is that, which did not meet the target criterion and includes the highest CL amongst the profiles using the target shift and a tilt meeting the target. This CL profile is then saved in step 7.

15

          Figures 14 –17 illustrate examples of different tilt values which may be used for steps 5 and 6, namely a fixed current level tilt of 10, 15, -5 or -10 may be used for these steps. Figure 18 represents a graphical example of an optimum threshold CL level achieved using this process, ie the initial CL profile which has been shifted down  
20 10 current levels and tilted 10 current levels.

          Having met the condition leading to step 7, the optimum CL profile is set as the T-level profile for use in the recipient's map. Alternatively, the process could be continued by manipulating other profile parameters, such as profile curvature.

25

          Whilst this process has been shown only in relation to setting the T levels, it can easily be used to set the C levels, with the only change required being the criterion of the "target". For setting the C levels, the "target" criterion is maximum comfort of sound perceived by the recipient, rather than sound detection, as is the case in setting  
30 the T levels.

          Further, whilst the process described above has combined the shift and tilt functions, the two functions can be applied separately. In this regard, the shift function can be used to move the CL profile to a threshold or maximum comfort position where  
35 the tilt or other such functions can be applied to the CL profile to optimise and fine tune the CL profile.

One example of the present invention being employed to set the T and C levels for an implant recipient that previously had the T and C levels set individually for each channel is described as follows:

5

1. An initial current level profile was set for the recipient based upon previous statistical threshold level data.
2. The initial current level profile was dropped below the recipient's threshold level.
- 10 3. The current level profile was then raised in incremental shifts in the presence of live voice until indication was obtained of the sound becoming audible to the recipient.
4. Upon establishing the point where the live signal became audible, the current level profile was fixed as the T-level profile.
- 15 5. The current level profile was then raised in incremental shifts in the presence of live voice until indication was given by the recipient of the loudness of the sound being at its maximum comfortable level.
6. The tilt of the current level profile at maximum comfort level was then increased/decreased and subjective judgement was obtained to determine the optimum current level profile which was then set as the C-level profile.
- 20 7. Step 6 was then repeated for the T-level profile.

This procedure took less than 5 minutes to complete, and the T and C levels were then programmed into their speech processor map for subsequent use. The recipient reported that sound perception using the map created by the present invention was substantially the same as the sound perception using the map created by the conventional mapping techniques.

The present invention therefore requires minimal psychophysics measurements using live voice, compared to many psychophysical measurements (roughly equivalent to twice the number of channels) using artificial stimuli as is the case in conventional mapping procedures. As a result, the present invention provides a programming/mapping procedure that is more recipient friendly, and makes the fitting procedure, especially for small children, simpler, more time efficient and more cost effective than has historically been the case.

It will be appreciated by persons skilled in the art that numerous variations and/or modifications may be made to the invention as shown in the specific embodiments without departing from the spirit or scope of the invention as broadly described. The present embodiments are, therefore, to be considered in all respects as  
5 illustrative and not restrictive.

**CLAIMS**

1. A method of fitting an auditory stimulation system having a plurality of  
5 channels to a recipient, the method comprising the steps of:  
    establishing an initial current level profile representative of a current level  
    setting spanning across at least some of the plurality of channels; and  
    adjusting parameters of the initial current level profile in the presence of a  
stimulation signal.  
10
2. A method of fitting an auditory stimulation system of claim 1 further comprising  
the step of:  
    determining the desired parameters representative of an optimum current level  
profile corresponding to a recipient's threshold and/or maximum comfort current level  
15 profile.
3. A method of fitting an auditory stimulation system as claimed in claim 1 further  
comprising the steps of establishing the initial current level profile from measurements  
of the ECAP thresholds for each or at least some of the channels of the auditory  
20 stimulation system, and establishing a current level profile based upon the  
measurements.
4. A method of fitting an auditory stimulation system in claim 1 further comprising  
the step of establishing the initial current level profile from measurements of the ECAP  
25 thresholds for at least one channel of the auditory stimulation system, with the full  
profile being interpolated from the measurements.
5. A method of fitting an auditory stimulation system as claimed in claim 1 further  
comprising the steps of establishing the initial current level profile by performing a  
30 statistical analysis of recipient mapping data over a number of recipients and  
subsequently using this analysis to form an initial current level profile for a particular  
recipient.
6. A method of fitting an auditory stimulation system as claimed in claim 1 further  
35 comprising the steps of establishing the initial current level profile by performing  
psychophysical measurements of the recipient in combination with statistical analysis



of recipient mapping data over a number of recipients, and thereby determine a suitable initial current level profile for a particular recipient.

7. A method of fitting an auditory stimulation system as claimed in claim 1  
5 wherein the parameters of the initial current level profile being adjusted include one or a combination of shift, tilt and curvature.

8. A method of fitting an auditory stimulation system as claimed in claim 7  
10 wherein the shift parameter is adjusted by adding/subtracting a fixed amount of current level from each individual channel in the profile.

9. A method of fitting an auditory stimulation system as claimed in claim 7  
15 wherein the tilt parameter is adjusted by adding/subtracting a derived amount of current level from each individual channel in the profile.

10. A method of fitting an auditory stimulation system as claimed in claim 7  
wherein the curvature parameter is adjusted by adding/subtracting a derived amount of current level from each individual channel in the profile in a non-uniform manner.

20 11. A method of fitting an auditory stimulation system as claimed in claim 9 or 10 wherein the amount of current added/subtracted from each individual channel varies dependent upon whether the channel is positioned in a basal region or in an apical region of the cochlea.

25 12. A method of fitting an auditory stimulation system as claimed in claim 11 wherein the current level for channels positioned in the apical region of the cochlea is increased and the current level for channels positioned in the basal region of the cochlea is decreased a derived amount.

30 13. A method of fitting an auditory stimulation system as claimed in claim 11 wherein the current level for channels positioned in the apical region of the cochlea is decreased and the current level for channels positioned in the basal region of the cochlea is increased a derived amount.

35 14. A method of fitting an auditory stimulation system as claimed in claim 1 wherein the stimulation signal is derived from a broadband sound signal.

15. A method of fitting an auditory stimulation system as claimed in claim 14 wherein the broadband sound signal is a live speech signal.
16. A method of fitting an auditory stimulation system as claimed in claim 14  
5 wherein the broadband sound signal is an artificial signal.
17. A method of fitting an auditory stimulation system as claimed in claim 14 wherein the broadband sound signal is a recorded signal.
- 10 18. A method of fitting an auditory stimulation system as claimed in claim 1 wherein the step of adjusting parameters of the initial current level profile in the presence of a stimulation signal includes adjusting the shift parameter of the initial current level profile until the stimulation signal can just be detected by the recipient, indicative of the stimulation reaching a threshold level.
- 15 19. A method of fitting an auditory stimulation system as claimed in claim 16 wherein following establishing the stimulation has reached a threshold level, the tilt parameter of the current level profile is adjusted until an optimal threshold level is perceived by the recipient.
- 20 20. A programming apparatus adapted to be interfaced with an auditory stimulation system having a plurality of channels to allow manipulation of threshold (T) and comfort (C) levels of the system, the programming apparatus comprising:  
a graphical display means adapted to display a graphical representation of the  
25 current profile of the channel array; and  
means for adjusting a current level setting of the current profile of the channel array.
21. A programming apparatus as claimed in claim 20 wherein an initial current  
30 level profile representative of the current level setting spanning across at least some of the plurality of channels is established.
22. A programming apparatus as claimed in claim 21 wherein parameters of the initial current level profile are adjusted in the presence of a stimulation signal.

23. A programming apparatus as claimed in claim 22 wherein the initial current level is established from measurements of the ECAP thresholds for each or at least some of the channels of the auditory stimulation system, and a current level profile is established based upon the measurements.

5

24. A programming apparatus as claimed in claim 22 wherein the initial current level profile is established from measurements of the ECAP thresholds for at least one channel of the auditory stimulation system, with the full profile being interpolated from the measurements.

10

25. A programming apparatus as claimed in claim 22 wherein the initial current level profile is established by performing a statistical analysis of recipient mapping data over a number of recipients, the analysis subsequently being used to form the initial current level profile for a particular recipient.

15

26. A programming apparatus as claimed in claim 22 wherein the initial current level profile is established by performing psychophysical measurements of the recipient in combination with statistical analysis of recipient mapping data over a number of recipients, thereby determining a suitable initial current level profile for a particular  
20 recipient.

27. A programming apparatus as claimed in claim 22 wherein the parameters of the initial current level profile being adjusted include one or a combination of shift, tilt and curvature.

25

28. A programming apparatus as claimed in claim 27 wherein the shift parameter is adjusted by adding/subtracting a fixed amount of current level from each individual channel in the profile.

30 29. A programming apparatus as claimed in claim 27 wherein the tilt parameter is adjusted by adding/subtracting a derived amount of current level from each individual channel in the profile.

30. A programming apparatus as claimed in claim 27 wherein the curvature  
35 parameter is adjusted by adding/subtracting a derived amount of current level from each individual channel in the profile in a non-uniform manner.

31. A programming apparatus as claimed in claim 29 or claim 30 wherein the amount of current added/subtracted from each individual channel varies dependent upon whether the channel is positioned in a basal region or in an apical region of the cochlea.

32. A programming apparatus as claimed in claim 31 wherein the current level for channels positioned in the apical region of the cochlea is increased and the current level for channels positioned in the basal region of the cochlea is decreased a derived amount.

33. A programming apparatus as claimed in claim 31 wherein the current level for channels positioned in the apical region of the cochlea is decreased and the current level for channels positioned in the basal region of the cochlea is increased a derived amount.

34. A programming apparatus as claimed in claim 22 wherein the stimulation signal is derived from a broadband sound signal.

35. A programming apparatus as claimed in claim 34 wherein the broadband sound signal is a live speech signal.

36. A programming apparatus as claimed in claim 34 wherein the broadband sound signal is an artificial signal.

37. A programming apparatus as claimed in claim 34 wherein the broadband sound signal is a recorded signal.

38. A programming apparatus as claimed in claim 22 wherein the adjusted parameters include the shift parameter of the initial current level profile, which shift parameter is adjusted until the stimulation signal can just be detected by the recipient, indicative of the stimulation reaching a threshold level.

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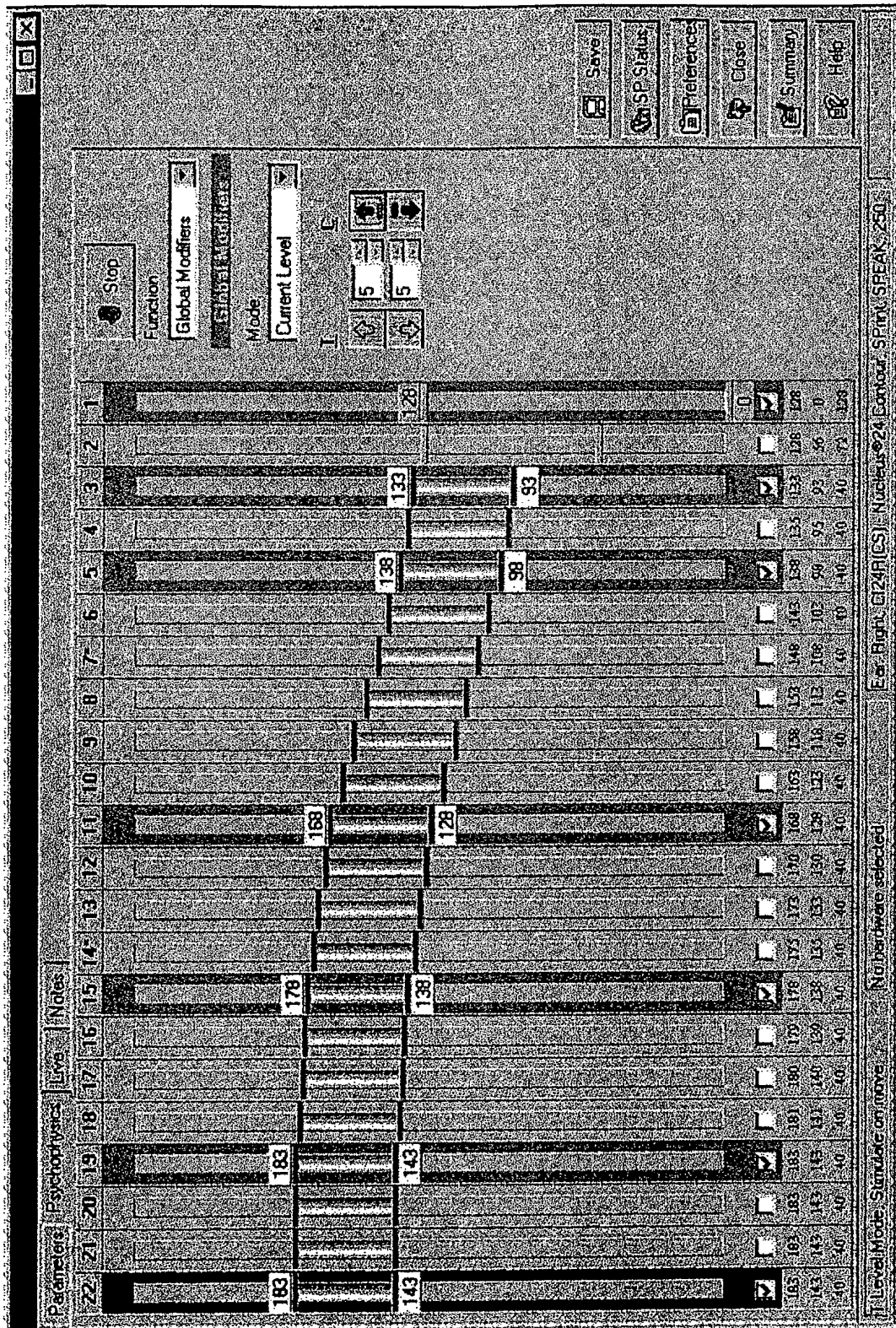


FIGURE 1

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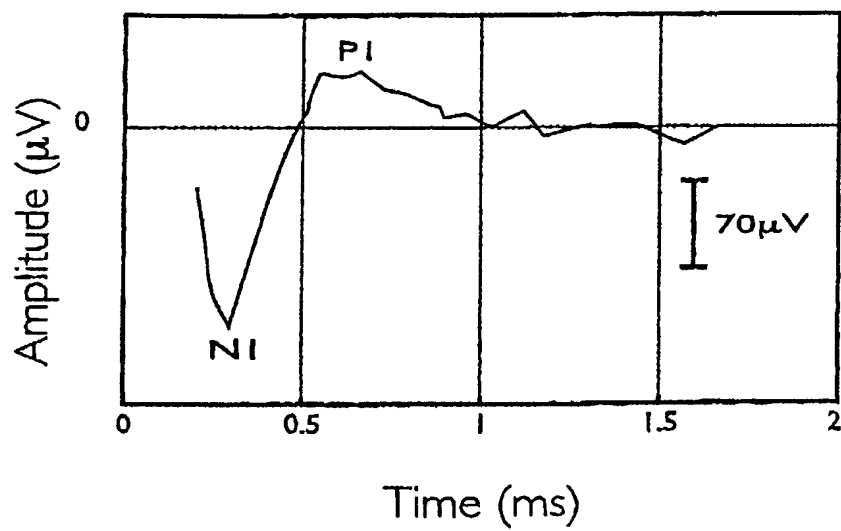


FIGURE 2

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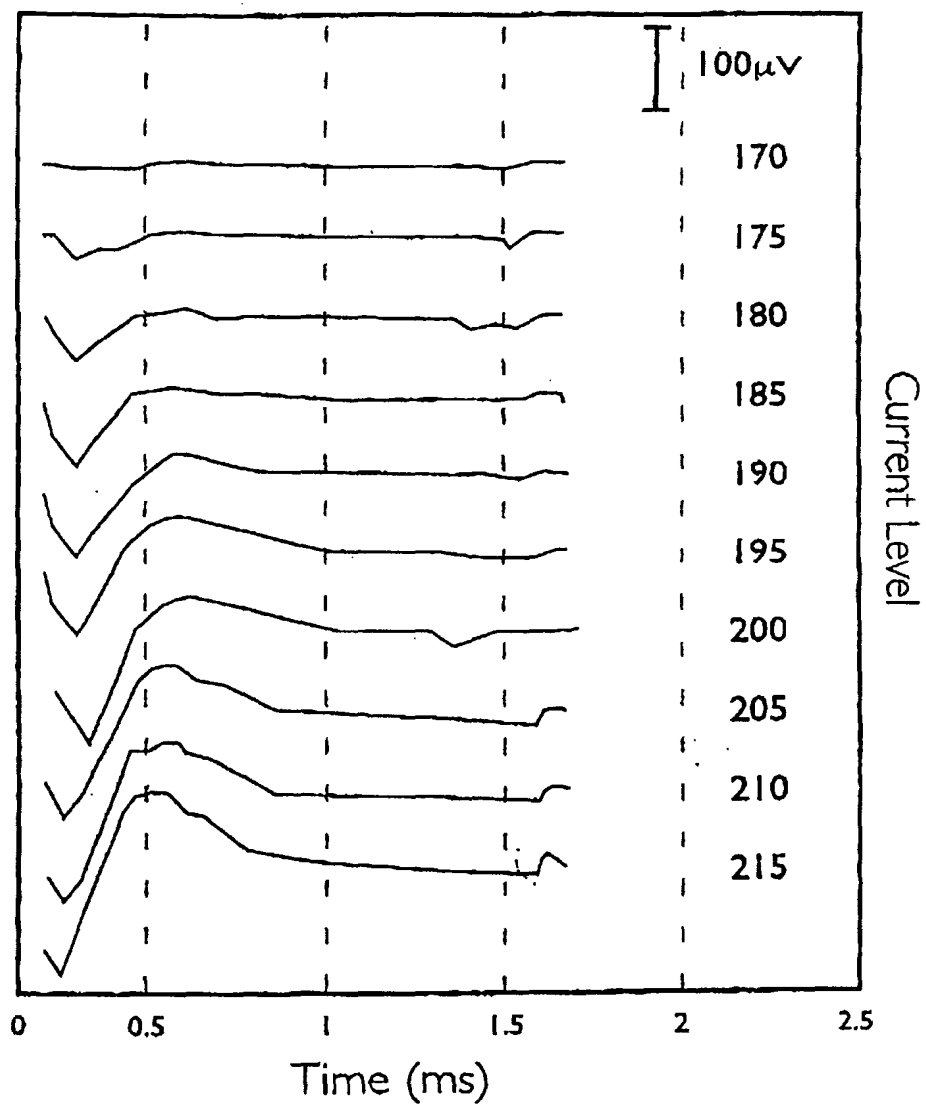
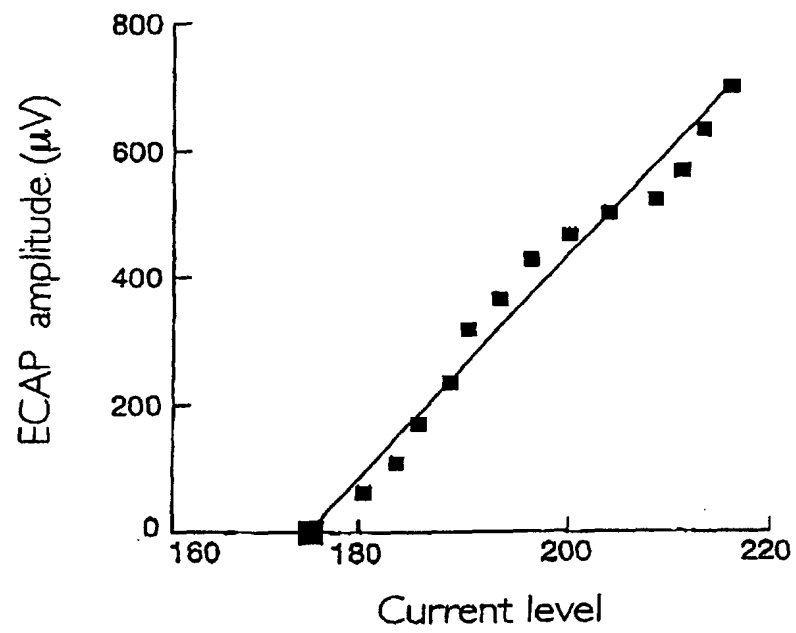


FIGURE 3

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**FIGURE 4**



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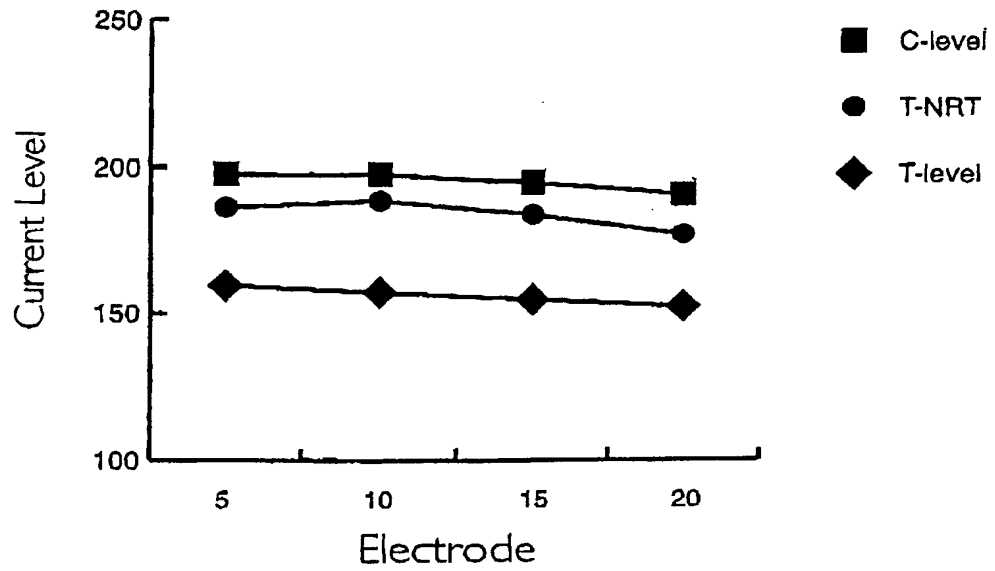


FIGURE 5

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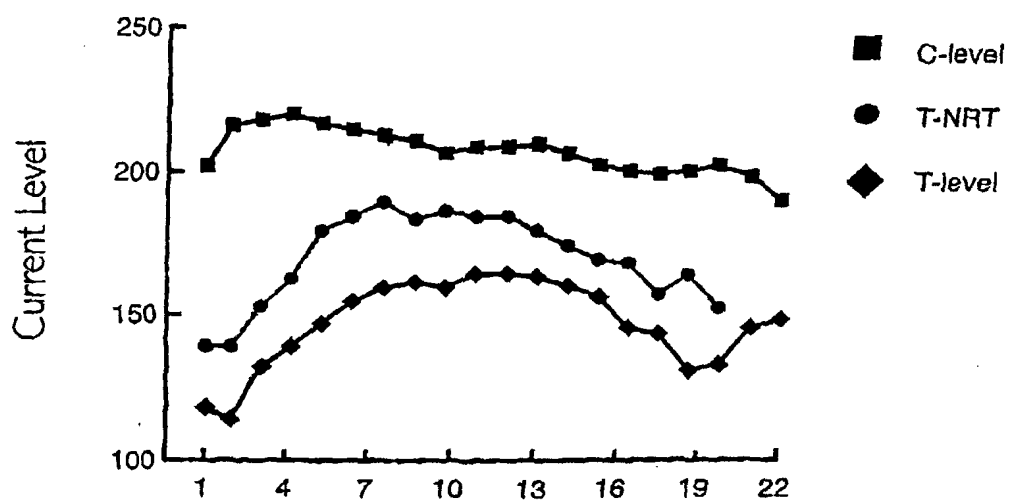


FIGURE 6

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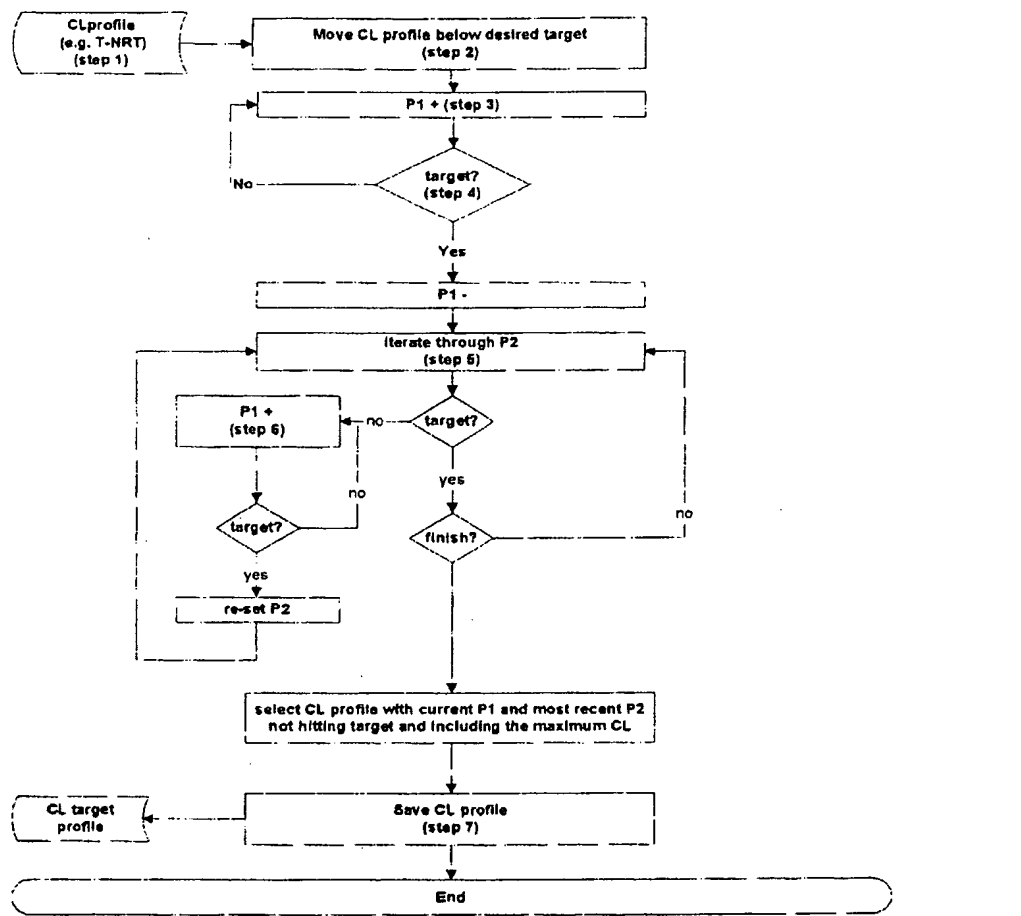


FIGURE 7

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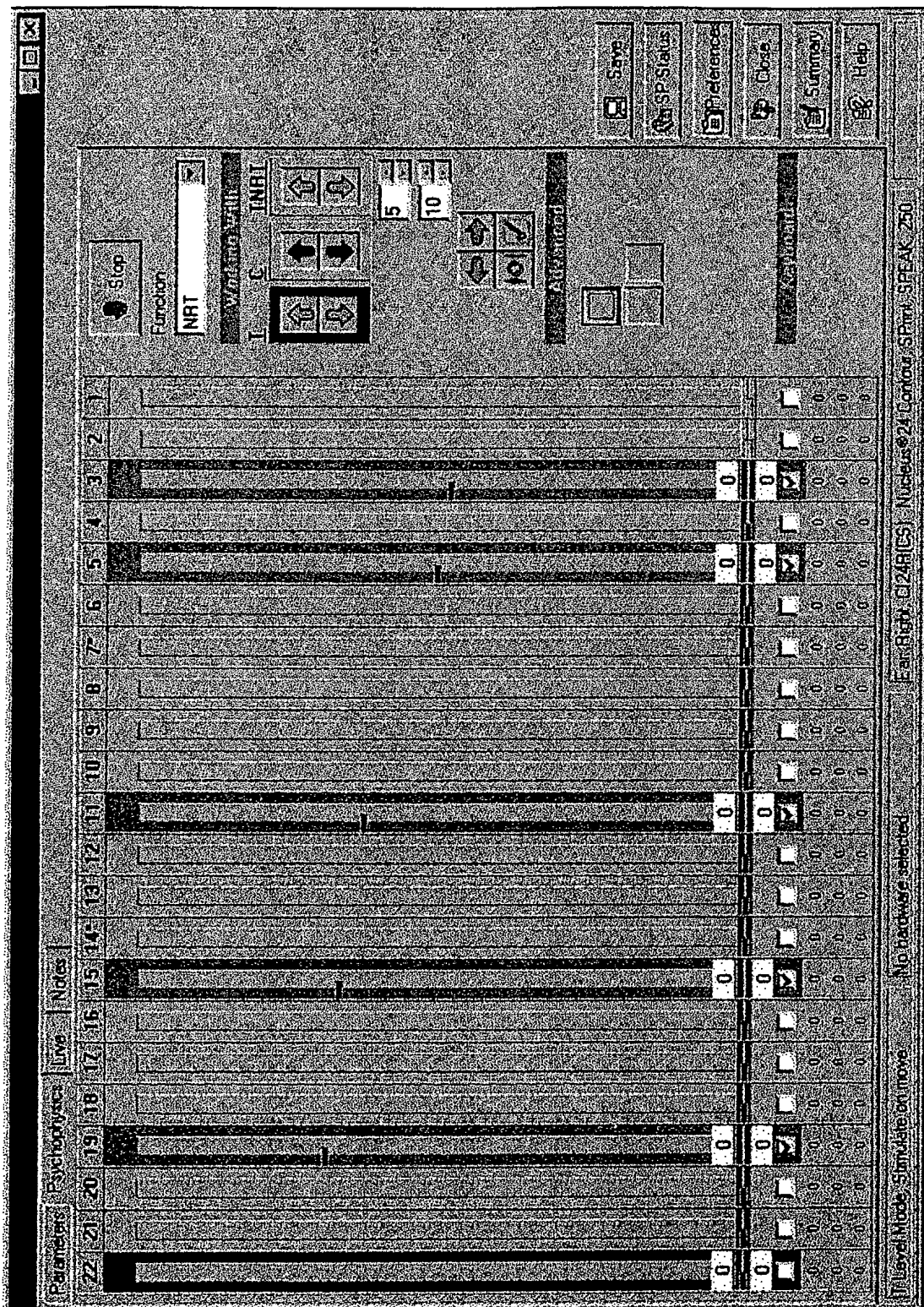
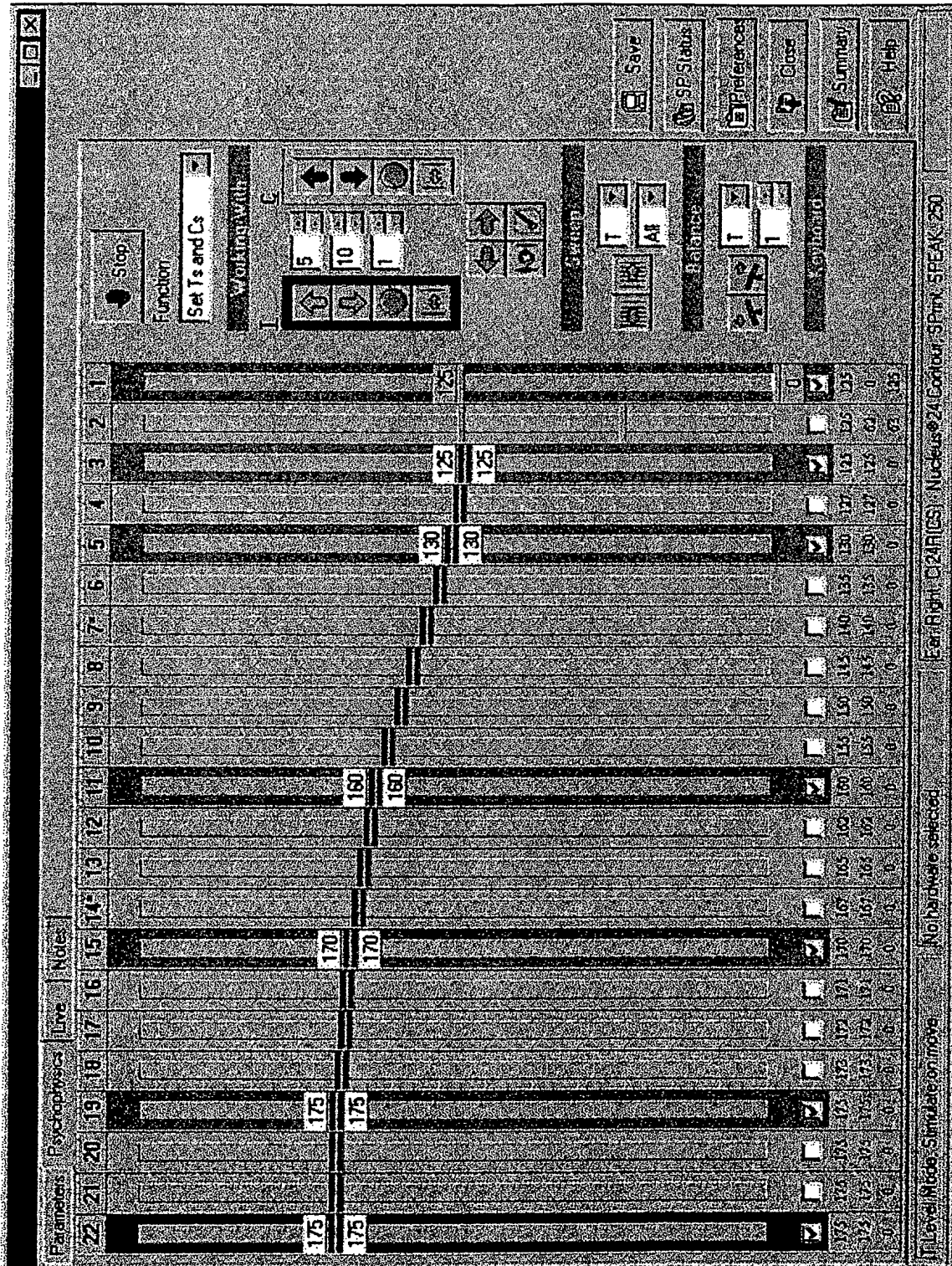


FIGURE 8

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•FIGURE 9

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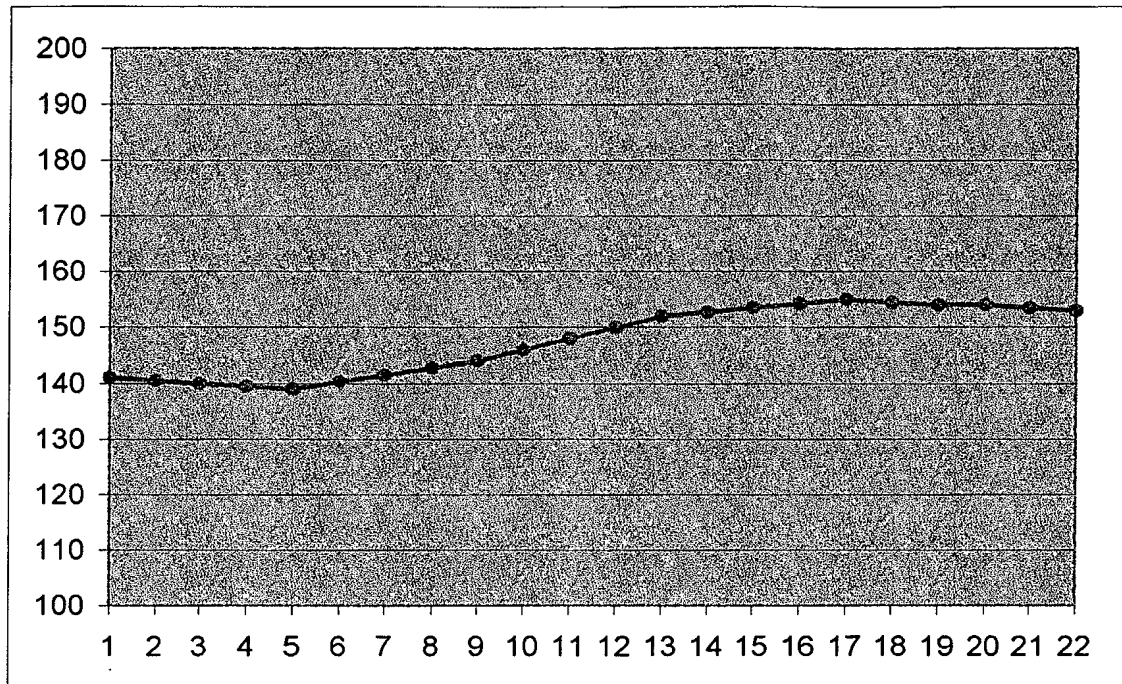


FIGURE 10

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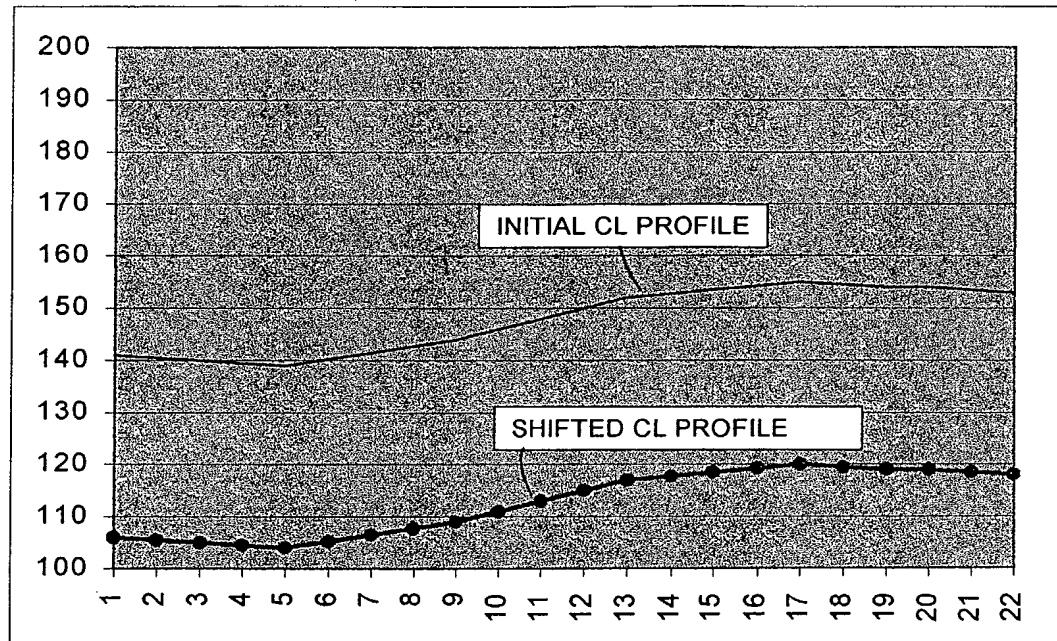


FIGURE 11



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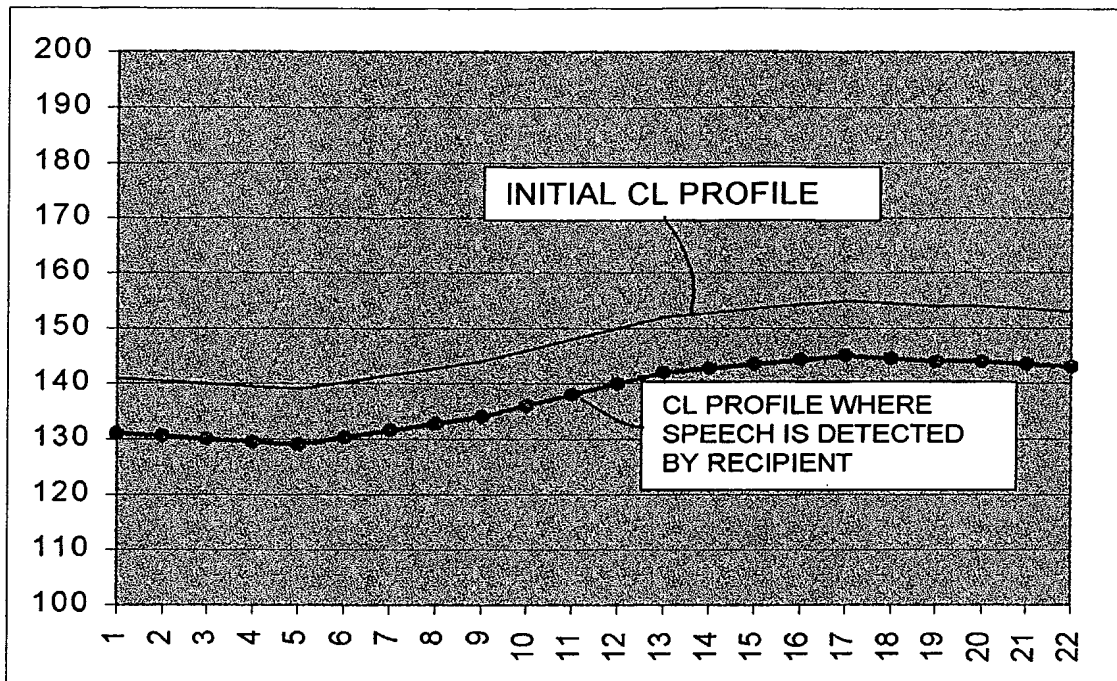


FIGURE 12



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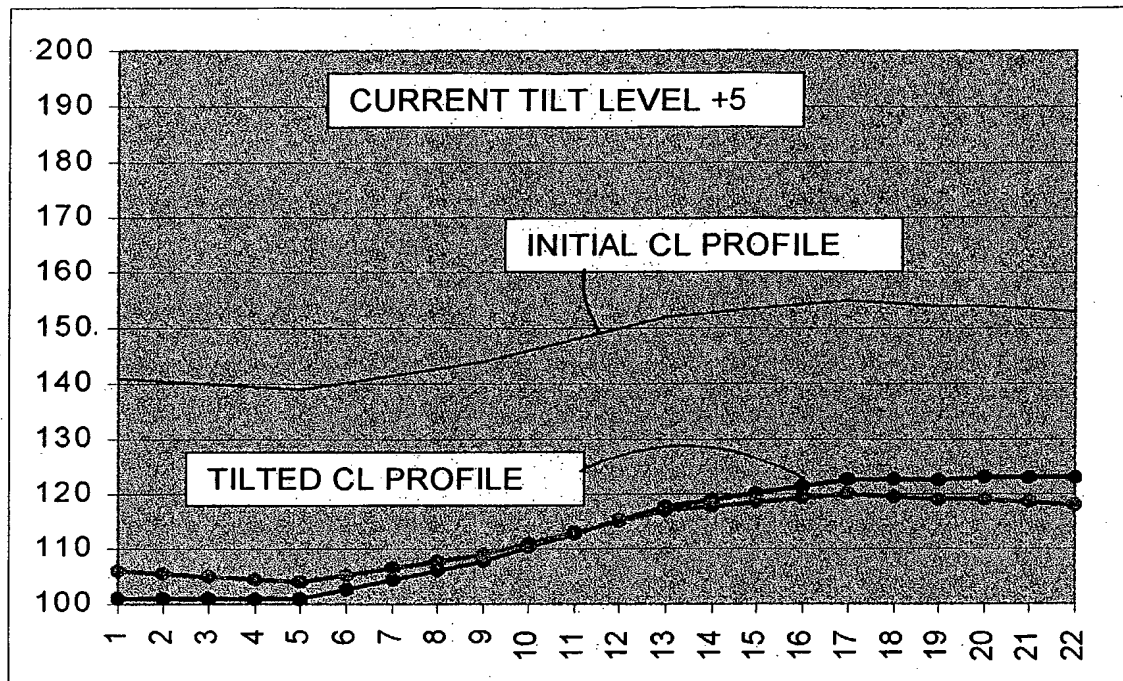


FIGURE 13

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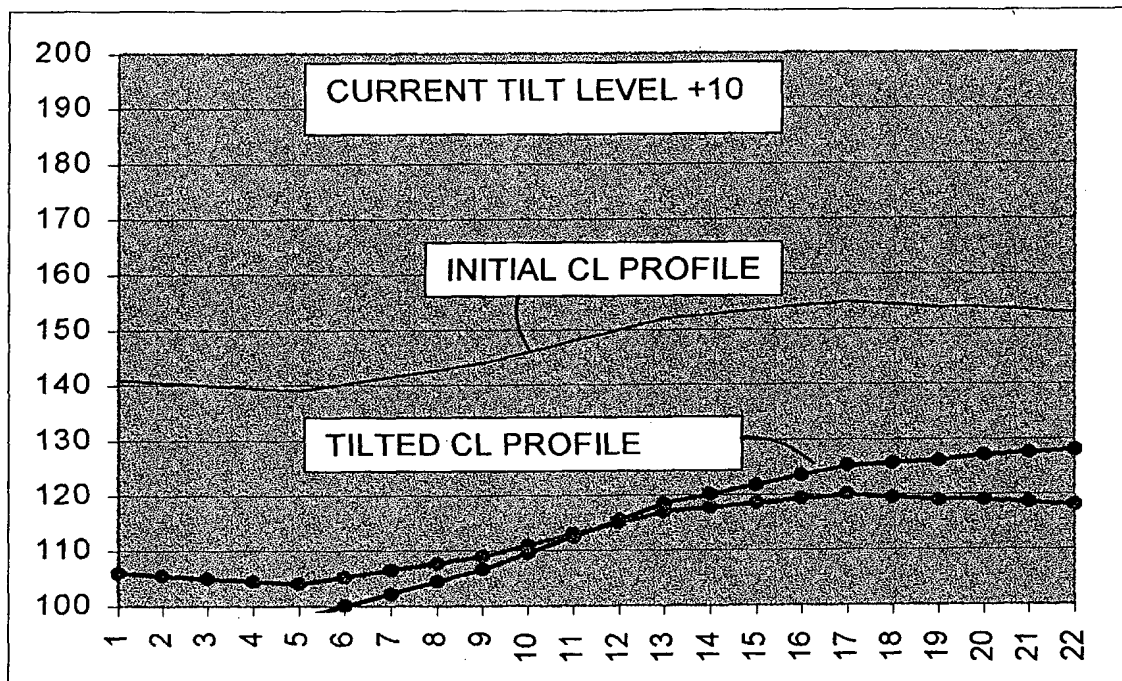


FIGURE 14

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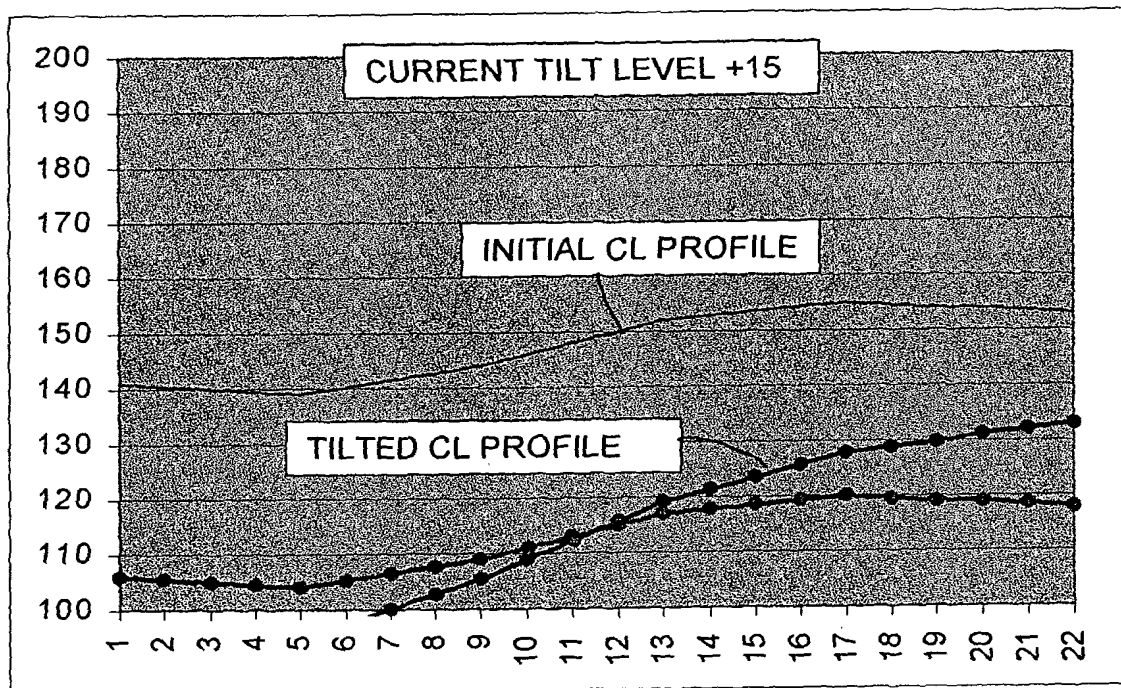


FIGURE 15

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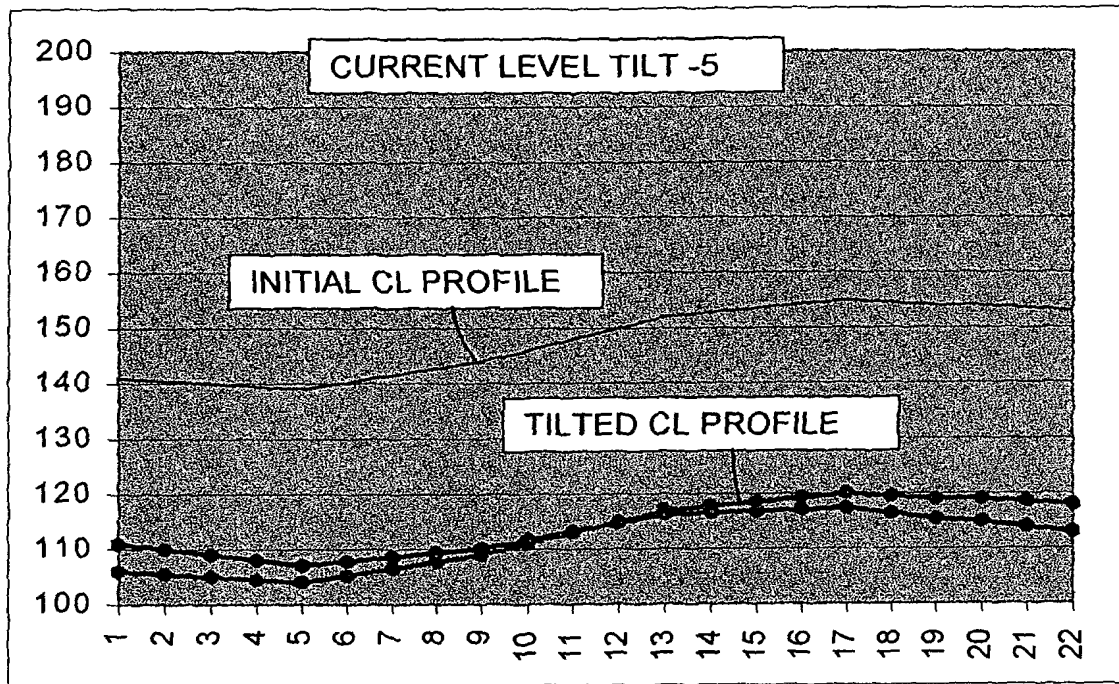


FIGURE 16

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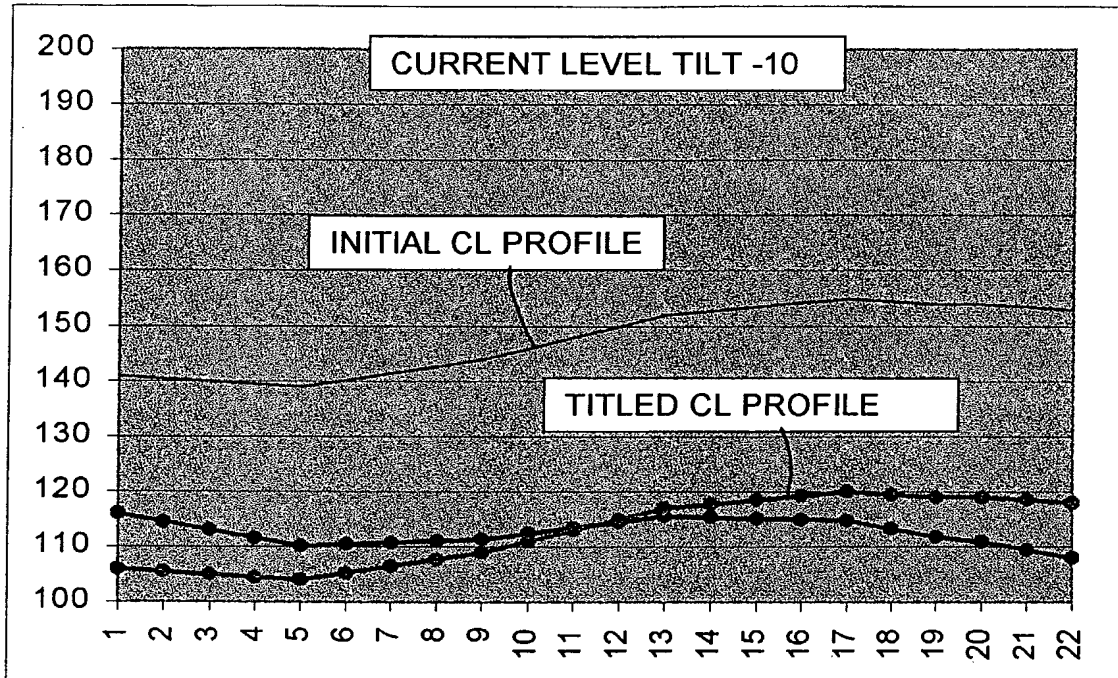


FIGURE 17

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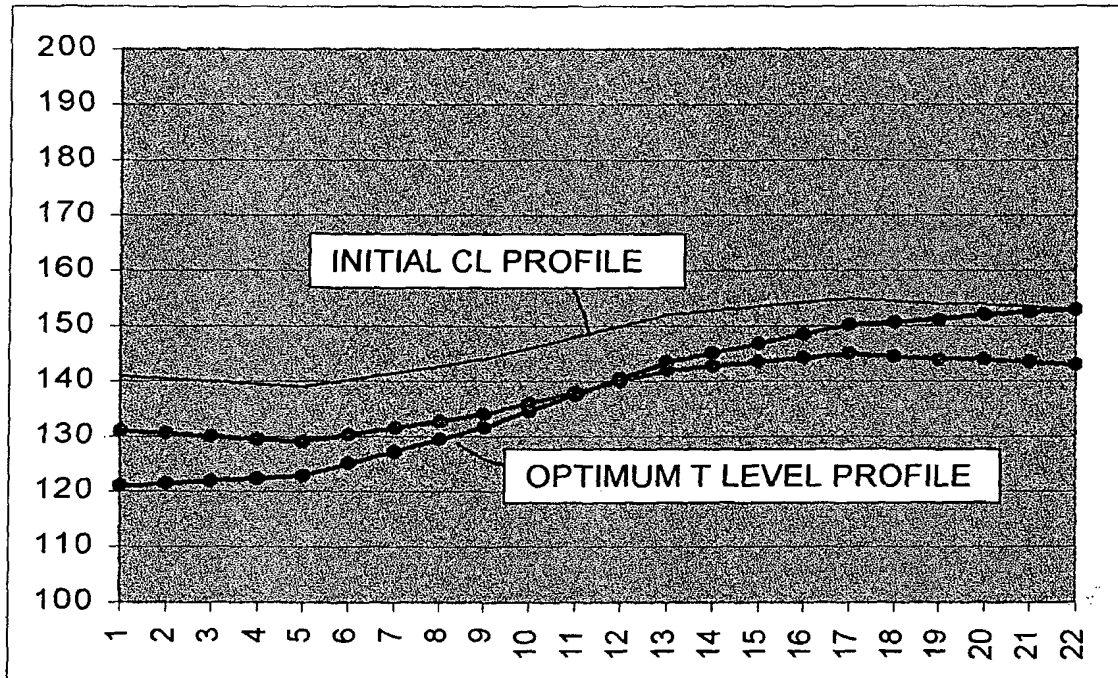
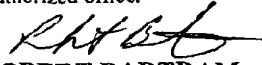


FIGURE 18

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/AU03/00804

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
Int. Cl. 7: H04R 25/00, A61N 1/36		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols)		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) DWPI, USPTO, Internet - keywords - auditory, hearing, stimulation, activity, excite, channel, frequency, signal, profile, parameter, threshold, level, cochlea, bionic, adjust, fit, optimise, and similar terms		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6157861 A (FALTYS et al) 5 December 2000 Refer to the whole document	1 to 38
X	US 6002966 A (LOEB et al) 14 December 1999 Refer to column 16 line 5 to column 17 line 7	1, 2, 5 to 22, and 25 to 38
<input type="checkbox"/> Further documents are listed in the continuation of Box C <input checked="" type="checkbox"/> See patent family annex		
<p>* Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier application or patent but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p>		
Date of the actual completion of the international search 19 August 2003		Date of mailing of the international search report 26 AUG 2003
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustalia.gov.au Facsimile No. (02) 6285 3929		Authorized officer  <b>ROBERT BARTRAM</b> Telephone No : (02) 6283 2215

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/AU03/00804

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report				Patent Family Member			
US	6157861	CA	2258008	EP	959943	WO	9748447
US	6002966	AU	55793/96	CA	2218846	EP	823188
		US	5601617	US	6219580	WO	9634508
							END OF ANNEX